



Suitability Determination Opt Out



Please note that this form is not applicable for use in relation to Forsyth Barr Private Portfolio Management Accounts.

In accordance with the Code of Professional Conduct for Authorised Financial Advisers, we need to take steps to ensure that personalised services are suitable for you. This means that when providing you with a personalised financial advisor service we will take into account information provided by you about your financial situation, financial needs, financial goals and tolerance for risk, as well as other information known to us about you.

By determining suitability your Investment Advisor can provide financial advice and recommendations that take into account your specific circumstances and are suitable for you.

Alternatively you may instruct us not to determine the suitability of a financial advisor service provided to you. If you wish to opt out of having suitability determined, please complete the acknowledgement below.

I/we acknowledge

- my/our instruction to opt out of determining the suitability of personalised services,
- that a suitability determination has the advantage of ensuring that any personalised financial advisor service provided is based on an understanding of my/our financial situation, financial needs, financial goals and tolerance for risk,
- that by opting out I/we may receive personalised services and financial advice that is limited as it may not take into account my/our specific circumstances due to the limitation on information provided to you.

Where I am signing on behalf of other account holders, I confirm that the other account holders consent and duly authorise me to submit this form on their behalf.

Account Name: _____

Account Number:

Name: _____

Signature: _____

Date:
DAY MONTH YEAR

Name: _____

Signature: _____

Date:
DAY MONTH YEAR

Name: _____

Signature: _____

Date:
DAY MONTH YEAR